



Dkt. 65988 CCD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants :Katsuyuki YAMADA et al.

Serial No.: 09/966,171

Filed : September 28, 2001

For : OPTICAL INFORMATION RECORDING MEDIUM, METHOD
OF MANUFACTURING THE OPTICAL INFORMATION RECORDING
MEDIUM, AND METHOD OF AND APPARATUS FOR RECORDING/
REPRODUCING OPTICAL INFORMATION

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

1185 Ave. of the Americas
New York, N.Y. 10036
January 20, 2002

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

S I R:

In response to the Notice to File Missing Parts of Application dated November 2, 2001, applicant is submitting herewith a duly signed Declaration and a check in payment of the \$130 surcharge required under 37 C.F.R. § 1.16(e).

The Declaration gives the names of the inventors and identifies the application by serial number and filing date. If any additional fee is required in connection with the instant submission, it is requested that such additional fee be charged to Deposit Account No.03-3125. A duplicate of this paper is attached.

Respectfully,

Christopher C. Dunham

Christopher C. Dunham
Reg. No. 22,031
Attorney for Applicants
Tel. (212) 278-0400

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Christopher C. Dunham
Christopher C. Dunham, Reg. No. 22,031

Date: JAN. 21, 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,171	09/28/2001	Katsuyuki Yamada	65988 CCD

CONFIRMATION NO. 5507

FORMALITIES LETTER



OC000000006983911

COOPER & DUNHAM LLP
1185 Ave. of the Americas
New York, NY 10036

Date Mailed: 11/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

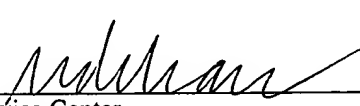
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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